

DAVIDSON KEMPNER EUROPEAN PARTNERS, LLP
EMPLOYEE AND PROSPECTIVE EMPLOYEE PRIVACY NOTICE

In this notice, “we”, “us” and “our” means Davidson Kempner European Partners, LLP (“DKEP”), as the entity employing or proposing to employ you on the date that you receive this notice. DKEP and its affiliates are collectively referred to herein as the “Firm.” We are registered in England and our registered address is at 4th Floor, Reading Bridge House, George Street, Reading, RG1 8LS and our company registration number is OC308054.

About this Privacy Notice

For the purposes of the General Data Protection Regulation (EU) 2016/679 (“GDPR”), we are a data controller in respect of your personal data. We are responsible for ensuring that we use your personal data in compliance with GDPR. Under GDPR, 'personal data' is defined as any data relating to a living EU individual who can be identified directly from that data or indirectly in conjunction with other information.

This privacy notice applies to employees, prospective employees, former employees, partners, members, former partners or members, interns, consultants, temporary employees and secondees of our organisation (collectively referred to herein for convenience as “Employees”) and sets out the basis on which any personal data about you will be processed by us. Please take the time to read and understand this privacy notice.

Where we refer to 'employee personal data,' 'employment' or 'contract of employment' in this notice, we do so for convenience only, and this should not be interpreted as purporting to confer employment status on non-employees to whom this notice also applies. This notice does not form part of any contract of employment and does not confer any contractual right on you, or place any contractual obligation on us.

This privacy notice applies with respect to all personal data collected, maintained, transmitted, stored, retained, or otherwise used (i.e. processed) by us regardless of the media on which that personal data is stored. We may update this notice at any time and will notify you in writing of any changes.

Personal Data that We Collect About You

We will collect and process the following personal data about you:

- **Information that you provide to us or one of our affiliates.** This includes information that you give to us by filling in forms or communicating with us, whether face-to-face, by phone, e-mail or otherwise through the recruitment process and during your employment with us. This information may include:
 - contact/identifying information, including your full name, date and place of birth, gender, current and prior home addresses, home and mobile telephone numbers, signature, personal email address, national insurance number, marital status, nationality, citizenship status, driver's licence and passport details, next of kin, and emergency contact details;

- career and personal background information, including education and qualification details, academic transcripts, curriculum vitae and previous employment background;
 - salary and benefit information, including bank account details for the transfer of your salary and other benefits, tax details, and the names, dates of birth and genders of your family members who will be covered by any of the benefits offered by the Firm; and
 - information about outside activities and personal investments of you or your family members.
- **Information we collect or generate about you.** This includes:
 - work-related details such as your job position, dates of employment, contact details, performance at work, absences (including information and documentation in connection with any statutory leave, sick leave, maternity and paternity leave, vacation days, etc.), pay and benefits information, service history, a copy of your employment agreement, photograph(s), health information, pregnancy and/or disability status, compensation history at the Firm, data relating to training and development needs, records of training and termination arrangements and payments;
 - information relating to grievance and/or disciplinary processes, misconduct or performance issues, data arising in connection with litigation and complaints, and involvement in incident reporting and disclosures;
 - personal data that we collect through your use of our information technology resources, including:
 - email (including but not limited to your full name, email address and the content, date and time of your email correspondence);
 - other usage of your computer, including the internet, intranet, authorized communications through instant messaging applications and use of other approved systems that we track;
 - telephone calls and voicemail;
 - mobile phone activity as it relates to the Firm's mobile applications, such as the mobile email service;
 - Firm-issued devices;
 - communications or information which have been deleted from email, voicemail, the internet or the intranet;
 - system login and access records;
 - downloading and printing records;
 - information obtained through call recording;
 - closed-circuit TV images captured through the legitimate use of CCTV in the office; and

- premises access records;
 - information obtained through interviews and assessments with you; and
 - information obtained through an exit interview with you upon your departure from the Firm, including your reasons for leaving and information about your next job.
- **Information we obtain from other sources.** This includes:
 - information we collect in conducting a background check on you at hire and from time to time during your employment, such as criminal and civil litigation history, personal credit history based on reports from any credit bureaus, identity verification, employment history confirmation, media statements and mentions (including social media), outside business activities, academic qualification verification, bankruptcy filings, evidence of financial probity, driving history, including traffic citations, and drug test results;
 - information from references in connection with your hiring; and
 - brokerage account statements, including information relating to family members or other accounts under your control.

Uses of Your Personal Data

Your personal data may be stored and processed by us for the following purposes:

- to meet our legal obligations as an employer and perform our obligations and exercise our rights under your contract of employment with us. For example, we use your personal data to pay you, to evaluate your individual performance, and to provide benefits in connection with your employment;
- to discharge our legal and regulatory obligations;
- to establish, exercise or defend our legal rights or for the purpose of legal proceedings;
- for the purposes of occupational health and to make decisions regarding your fitness for work; and
- for our legitimate business interests (or the legitimate interests of one or more of our affiliates), such as:
 - allowing us to effectively, efficiently and consistently administer and manage our Employees and the operation of our business on a global basis;
 - ensuring a consistent approach to (i) the collection, use, disclosure, transfer and processing of personal data of the Firm's Employees, and (ii) the Firm's recruitment of Employees;
 - monitoring and promotion of equal opportunities;
 - maintaining compliance with internal policies and procedures;

- allowing us to effectively assess your skills and qualifications and your suitability for the role for which you have applied, and otherwise considering you for employment at DKEP (which may include verifying your qualifications and references with third parties you name);
- investigating and responding to complaints from personnel, clients, counterparties or regulators;
- preventing personal use of the Firm's property;
- being able to contact you or your family in connection with the recruitment process or in the event of an emergency; and
- to maintain contact with you in the future and to notify you of relevant job vacancies with DKEP that you might be interested in. If you do not want us to retain your information or if you would like us to update it at any stage, please send such request in writing to the contact listed at the end of this notice.

Please note that if you are a prospective employee and you accept an offer of employment at DKEP, the information we collect during the application and recruitment process will become part of your employment record.

Disclosure of Your Information to Others

We may disclose your personal data to our affiliates for the purposes of:

- the management and administration of our business and our affiliates' businesses on a global basis, including the maintenance of one or more centralised databases storing personal data;
- complying with the functions that each of them may perform relating to regional or global HR decisions;
- assessing compliance with applicable laws, rules, regulations and internal policies and procedures across our business and our affiliates' businesses;
- where your personal data is held as part of an internal directory, enabling adequate communication with you for the performance of employment duties or for emergency reasons; and
- the administration and maintenance of the databases storing personal data relating to our employees or to employees of our affiliates.

We will take steps to ensure that your personal data is accessed only by employees of our affiliates that have a need to do so for the purposes described in this notice.

We may also share your personal data with third parties outside of the Firm for the following purposes:

- to third party agents and contractors for the purposes of providing services to us, including IT and communications service providers, law firms, accountants, auditors, administrators, payroll service providers, benefits providers, reference providers, human resource management providers and background check providers. These third parties will be subject to

confidentiality requirements and they will only use your personal data as described in this privacy notice;

- to the extent required by law, regulation or court order (for example, if we are under a duty to disclose your personal data in order to comply with any legal obligation or to establish, exercise or defend our legal rights); and
- in connection with the sale of our business or assets or an acquisition of our business by a third party.

Necessity of Personal Data for Employment

The provision of certain personal data is necessary for the Firm to effectively assess your skills and qualifications and your suitability for the role for which you have applied or are employed, as well as for compliance by the Firm with certain legal, tax and regulatory obligations. Accordingly, if certain personal data is not provided when requested, DKEP may not be able to offer you employment or may not be able to continue to employ you.

Transfers of Personal Data Outside the European Economic Area

The personal data that we collect from you may be transferred to, and stored at, a destination outside the European Economic Area (“EEA”). It may also be processed by individuals operating outside of the EEA who work for the Firm or for one of our service providers.

Where we transfer your personal data outside the EEA, we will ensure that it is protected in a manner that is consistent with how your personal data will be protected by us in the EEA. This can be done in a number of ways, for instance:

- the country that we send the data to might be approved by the European Commission as offering a sufficient level of protection;
- the recipient might have signed up to a data transfer agreement based on “model contractual clauses” approved by the European Commission, obliging them to protect your personal data; or
- where the recipient is located in the US, it might be a certified member of the EU-US Privacy Shield scheme.

In other circumstances the law may permit us to otherwise transfer your personal data outside the EEA. In all cases, however, we will ensure that any transfer of your personal data is compliant with data protection law.

Retention of Personal Data

How long we hold your personal data will vary. The retention period will be determined by various criteria, including the purpose for which we are using your personal data (we will need to keep the data for as long as is necessary for that purpose) and legal obligations (laws or regulation may set a minimum period for which we have to keep your personal data).

Your Rights

You have a number of legal rights in relation to the personal data that we hold about you. These rights include:

- the right to obtain information regarding the processing of your personal data and access to the personal data which we hold about you;
- the right to withdraw your consent to our processing of your personal data at any time. Please note, however, that we may still be entitled to process your personal data if we have another legitimate reason (other than consent) for doing so;
- in some circumstances, the right to receive some personal data in a structured, commonly used and machine-readable format and/or to request that we transmit that data to a third party where this is technically feasible. Please note that this right only applies to personal data which you have provided to us;
- the right to request that we rectify your personal data if it is inaccurate or incomplete;
- the right to request that we erase your personal data in certain circumstances. Please note that your right to be forgotten that applies in certain circumstances under GDPR is not likely to be available in respect of the personal data we hold, given the purposes for which we collect such data, as described above. Additionally, please note that there may be circumstances where you ask us to erase your personal data but we are legally entitled to retain it;
- the right to object to, and the right to request that we restrict, our processing of your personal data in certain circumstances. Again, there may be circumstances where you object to, or ask us to restrict, our processing of your personal data but we are legally entitled to continue processing your personal data and/or to refuse that request; and
- the right to lodge a complaint with the data protection authority (details of which are provided below) if you think that any of your rights have been infringed by us.

Contacting Us

If you would like further information on the collection, use, disclosure, transfer or processing of your personal data or the exercise of any of the rights listed above, please address questions, comments and requests to Kathryn Melrose at kmelrose@dkpartners.com.

You can find out more information about your rights by contacting the data protection authority in your jurisdiction, the Information Commissioner's Office, or by searching their website at <https://ico.org.uk>.

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